

**Teignbridge District Council
Audit Committee
17th June 2026 Part I**

Strategic and Corporate Risk Report

1. Purpose of Report

To provide Members with an overview of the status of Teignbridge District Council's strategic and corporate risks.

Recommendation(s)

The Committee RESOLVES to:

- Note the actions being taken to mitigate risks to the achievement of the council's objectives.

2. Financial Implications

As this is an advisory report there are no direct financial implications, however, the following strategic financial risks are included:

ST02 Failure to control and manage finance

ST14 Fraud and corruption

ST24 Breach of finance and contract rules

Gordon Bryant

Head of Financial Services and Audit

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3. Legal Implications

No direct implications beyond effective risk management are key to meeting Council's legal duties.

Charlie Fisher

Democratic Services

Manager and

Monitoring Officer

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4. Risk Assessment

Effective risk management will aid decision making, focus and make better use of resources, provide a duty of care, comply with legislation, reduce costs, provide a continuity of service and reduce the risk of not meeting the council's objectives.

Neil Blaney

Director of Place

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5. Environmental/ Climate Change Implications

There are no direct environmental and climate change implications due to this being an advisory report only, however, the following risks relating to Environment and Climate Change are included for monitoring:

ST31 – the impact of not reducing our energy use and contribution to CO2

ST42 – Failure to deliver the council strategy

ST44 – Flood risk resilience

ST45 – Flood risk management

William Elliot

Climate Change Officer

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Report Author

Sarah Knight

Programme & Performance Manager

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Executive Member

Corporate Resources – Cllr John Parrott

Appendices/Background Papers

Appendix A – Risk summary report

Appendix B – Risk overview report (including a full list of mitigations)

6. BACKGROUND

There are three categories of risk managed within Teignbridge District Council;

Strategic Risks – The risks that, should they materialize, would impact heavily on our service delivery, are fundamental changes in the district, or something that would cause significant reputational damage. These risks are managed by business leads and business or service managers.

Corporate Risks – Apply to more than one area, and there are corporate processes in place to deal with them e.g. Attacks on staff. These risks are also managed by business or service managers.

Service Risks - Are risks that apply primarily to a single service. These are managed by business or service managers and team leaders.

In addition, reports to decision makers (both members and the strategic leadership team) for major projects or service changes must include an analysis of risks. These risks may be referred for inclusion in Corporate or Strategic Risk Registers if they will become significant issues if they materialize.

7. RISK OVERVIEW

There are currently 24 risks in the Strategic Risk register. These are the risks we consider could impact heavily on our ability to deliver essential services and meet important objectives. In addition, there are 12 Corporate Risks. These are common risks which apply to many sections of the council, and we have corporate processes in place to deal with them.

Of these 36 risks, 3 have a very high unmitigated risk score, i.e. the risk score if we take no action to reduce the risk, of 20 (5x4). The risk Responsible Officers have actions or mitigations in place to reduce the risk scores.

Risk reviews are carried out by the risk Responsible Officer as follows:

- Low 1-4 - annual review
- Medium 5-9 - biannual review
- High 10-19 - quarterly review
- Very High 20+ - monthly review

In addition to the regular reviews, meetings with the risk Responsible Officer and those managing the risk are held to review the scope of the risk, causes and impact, and mitigations in more depth.

The risk matrix below shows how many risks have very high (20+), high (10+), medium (5+) or low (1+) mitigated risk score, which considers the effectiveness of actions (mitigations) to reduce the risk.



Risk Likelihood	5 - Very High	No Risks	No Risks	No Risks	No Risks	No Risks
	4 - High	No Risks	1 Risk CP11,	No Risks	No Risks	No Risks
	3 - Medium	1 Risk ST24,	3 Risks CP12, ST41, CP17,	8 Risks CP01, CP09, ST29, ST17, CP08, ST08, ST32, ST40,	2 Risks ST11, ST46	No Risks
	2 - Low	No Risks	3 Risks ST14, CP07, CP05,	7 Risks ST25, ST19, ST37, ST42, CP15, ST45, ST09	5 Risks ST02, ST26, ST20, CP16, ST44,	No Risks
	1 - Very Low	2 Risks ST31, ST06,	No Risks	3 Risks CP13, ST28, CP14,	1 Risk ST43,	No Risks
		1 - Very Low	2 - Low	3 - Medium	4 - High	5 - Very High
		Risk Impact				

8. COMPARISON TO PREVIOUS QUARTER

MITIGATED SCORE	VALUE	NUMBER OF RISKS PER CATEGORY	
		MARCH 2026	JUNE 2026
VERY LOW	1	1	2
LOW	2 - 4	9	8
MEDIUM	5 - 9	21	24
HIGH	10 - 19	5	2
VERY HIGH	20+	0	0

9. RISK REPORTS

A report listing the Corporate & Strategic risks by mitigated status is provided in Appendix A.

As agreed in the December 2025 meeting of the Audit Committee, a further report showing the unmitigated and mitigated status of each risk together with the full list of mitigations is provided as Appendix B

Details of those risks with a **high mitigated status of 12+**, are provided below.

There are currently 2 risks with a high mitigated status of 12+, which is a reduction from 5 in the Quarter 3 report. The remaining 2 risk scores in this category remain at a score of 12.

Status	Code	Title	Risk Impact	Risk Likelihood	Review Note
High (12)	ST11	Failure to Comply with Health & Safety Legislation	4 - High	3 - Medium	Managers identify training needs and appropriate training given in house or by external provider - unable to evidence identification and or effective provision and refreshers - competency framework requirement is not mitigated.
High (12)	ST46	Failure of ICT security measures leading to a cyber attack	4 - High	3 - Medium	A dummy phishing exercise has recently been undertaken for staff. Staff that input their credentials have been required to undertake additional training. Strata's BC & DR Plan is being updated in line with LGA "cyber grab bag" V2, to be shared with Business Continuity leads shortly.

Review notes: ST11 - Failure to Comply with Health & Safety Legislation

This is a wide-ranging Strategic Risk with many roles in the Council holding responsibility for Health & Safety compliance. Overall Responsibility for Health and Safety lies with the Managing Director, however operational responsibility now falls to the Head of Assets role.

The internal safety focus currently is on the implementation of a digital Health and Safety Management system 'Evotix', which will provide an overview of the council's health and safety management and when implemented, will provide compliance tools e.g. incident report forms, manager investigation, risk assessment and workplace forms related to DSE etc.

It will distribute responsibility to Heads of Service and managers across all service areas. The senior leadership and management teams will have credible data which provides overview, focus and scrutiny. This will highlight resourcing requirements such as people, equipment, processes, time etc.

The current stage of the project is working towards improving incident reporting arrangements, followed by the DSE assessment module. When ready, these elements of the system will be rolled out to replace the existing Firmstep incident report and DSE assessment forms.

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The next phase of the project will focus on risk assessment which will involve organising recognised Health & Safety training for managers & team leaders e.g. Managing Safely, Risk Assessment etc. When staff are adequately trained, including on the use of Evotix, they will be able to complete digital risk assessments and review them for their service areas.

A programme of audits is also being developed by the Assets team relating to Property Accountable Persons, and more work in this area will follow as part of the Evotix roll out.

We are also currently implementing a Lone Worker Monitoring system provided by Vatix. This is due to be launched by June 2026. The Lone Worker policy will be updated when Vatix is rolled out to the wider workforce. The lone worker monitoring system and provision of de-escalation training will enable staff to summon assistance should an incident occur but wouldn't prevent it. The ongoing training will help people read and respond to other people's behaviour.

Review notes: ST46 - Failure of ICT security measures leading to a cyber attack

Periodic messages and updates on cyber risk are communicated to staff in staff newsletters, and posters are clearly displayed in workplaces. All staff are required to complete e-learning/awareness training on cyber security.

The Business Continuity plan includes cyber risk together with our response to any cyber-attacks. In addition, STRATA hold a cyber incident response plan (linked below), that provides further detail.

[STRATA-CyberIncidentResponsePlan.docx](#)

Controls are active and evolving, but residual cyber risk remains high, reflecting the external threat landscape and reliance on staff behaviour. A recent dummy phishing exercise resulted in targeted retraining for those staff who responded to the email, and Strata are in the process of updating cyber response plans.

10. REVIEW OF RISK MANAGEMENT STRATEGY

Overall Risk Position and Direction of Travel

The Council maintains a moderate-to-high risk environment, with a large and active risk portfolio. While effective mitigations are in place across most risks, residual risk remains high in critical areas (H&S and Cyber Security). The risk landscape has seen three risks reduced from high to medium, concentrating most of the risks around the medium level. Whilst this indicates a direction of travel in a positive way, many mitigations remain ongoing and robust governance is important to ensure this position is maintained and improved on.

Integration of Risk Management into the Culture of the Council

Following the restructuring of council services, the Devon Audit Partnership carried out a Risk Workshop with Heads of Service and the Senior Leadership Team in March 2026. The outcomes of this workshop alongside the recent internal audit recommendations will be reviewed alongside the [Corporate Risk Management Strategy](#) to develop a Risk Action Plan; this activity will be scheduled during Quarters 2 and 3 of 2026/27.

Financial and Economic Exposure

Financial risks are managed but remain sensitive to external pressures. While no immediate financial crisis is identified, several structural financial risks remain:

- Economic uncertainty (ST08)
- Finance control risk (ST02)
- Income collection risk (CP07)

Controls in place to mitigate these risks include:

- Budget monitoring and 3-year planning
- Reserves maintained (~£2.4m)
- Regular reporting to SMT and Executive

Programme and Project Governance

Governance structures for project risk are in place and managed via the Projects Assurance Board which meets monthly. Major projects have individual risk registers and structured oversight, and work is in progress to embed a thorough and consistent risk management approach for all projects across the organisation.

Strategic Delivery and External Risks

The One Teignbridge Strategic Action Plan was adopted by Full Council in October 2025. Risk ST42; Delivery of the Council Strategy is tied to funding, capacity, political direction, and external environment. New performance governance arrangements were approved by Executive in May 2026 and are being established to support quarterly monitoring.

Place-Based and Environmental Risks

External and environmental risks are less acute but more complex and interdependent. Risks are generally moderate and controlled but depend heavily on partners and external factors.

Key risks include:

- Local Plan delivery and infrastructure delays (ST40, ST41)
- Flood risk and resilience (ST44, ST45)
- Climate change obligations (ST31)

11. MAJOR PROJECTS/ SERVICE CHANGES

The current major council projects are listed in the table below, which provides assurance that project risks have been assessed, and registers or strategic risks are in place and being managed.

The Projects Assurance Board meets monthly to review major projects, including key risks and issues.

Strategy Theme	Project	Service	Responsible Officer	Risk and Opportunities Register
The Way We Work	Modern 25 Programme	Strategy & Partnerships	Amanda Pujol	Yes
Economy EC.4	Future High Street Fund project: Market Improvements	Assets	Tom Phillips	Yes

Economy EC.2	Newton Abbot Town Centre Masterplan	Strategy & Partnerships Assets	Michelle Luscombe Tom Phillips	Yes
Homes H.10	Local Authority Housing Fund; Refugee Accommodation	Housing	Chris Trowell	Yes
Homes H.8	Centrepont Youth Homelessness Project	Housing	Jon Lloyd-Owen	Yes
Environment EN.3	Waste Transfer Station	Environmental	Chris Braines	Yes
Infrastructure IN.8	Leisure Centre Upgrades	Leisure	James Teed	Yes

12. ALIGNMENT TO ONE TEIGNBRIDGE

The One Teignbridge strategy sets 5 core priorities:

- Communities
- Economy
- Environment
- Homes
- Infrastructure

Management of strategic and corporate risk underpins these priorities by identifying threats to delivery. Below is a worked example of how current identified risks are aligned to each theme. As part of the development of a Risk Action Plan, and once the One Teignbridge governance arrangements are fully established, a series of workshops will be arranged to facilitate a full review and update of our corporate and strategic risk register.

Example Mapping

One Teignbridge Priority	Linked Risks (from audit report)	Insight
Communities	Health & Safety (ST11), workforce risks	Impacts safe, inclusive communities
Economy	Financial sustainability (ST02)	Affects ability to invest and support growth
Environment	Flooding (ST44/45), climate risks	Directly ties to climate commitments
Homes	Planning / delivery risks, housing pressures	Affects housing delivery targets
Infrastructure	Programme delivery (CP15), asset risks	Impacts delivery of services and facilities

13. ALTERNATIVE OPTIONS

None, risk management is a mandatory function of the Council.

14. CONCLUSION

Effective risk management will aid decision making, focus and make better use of resources, provide a duty of care, comply with legislation, reduce costs, provide a continuity of service and reduce the risk of not meeting the council's objectives.



Risk status report for AS

Annual report for 2026/27

No headings

Filtered by Prefix: Include Risk Prefix: ST, CP

Key to Performance Status:

Risks:	Review overdue (0+)	Very High (20+)	High (10+)	Medium (5+)	Low (1+)
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Risks						
Status	Code	Title	Risk Impact	Risk Likelihood	Executive Member	Risk Responsible Officer
High (12)	ST11	<u>Failure to Comply with Health & Safety Legislation</u>	4 - High	3 - Medium	Corporate Strategy, Partnerships & Governance	Paul Nicholls, Charles Perryman
High (12)	ST46	<u>Failure of ICT security measures leading to a cyber attack</u>	4 - High	3 - Medium	Corporate Strategy, Partnerships & Governance	Sue Heath, Amanda Pujol
Medium (9)	CP01	<u>Attacks on Staff</u>	3 - Medium	3 - Medium	Corporate Strategy, Partnerships & Governance	David Eaton, Paul Nicholls, Charles Perryman
Medium (9)	CP09	<u>Staff health and wellbeing (was Staff Absence/Stress/Morale)</u>	3 - Medium	3 - Medium	Corporate Strategy, Partnerships & Governance	Claire Gierth
Medium (9)	ST29	<u>Failure to maintain sufficient management capacity & capability</u>	3 - Medium	3 - Medium	LGR & Devolution	Claire Gierth, Ellie Willcocks
Medium (9)	ST17	<u>Inability to Recruit and Retain Staff</u>	3 - Medium	3 - Medium	Corporate Strategy, Partnerships & Governance	Claire Gierth
Medium (9)	CP08	<u>Future proofing the workforce (was Failure to</u>	3 - Medium	3 - Medium	Corporate Strategy,	Claire Gierth

		<u>Improve Staff Capability and Capacity)</u>			Partnerships & Governance	
Medium (9)	ST08	<u>Economic Uncertainty</u>	3 - Medium	3 - Medium	Economy, Estates & Major Projects	Gwydion Batten, Neil Blaney, Gordon Bryant
Medium (9)	ST32	<u>Non Compliance with Section 106 Agreements and CIL notices</u>	3 - Medium	3 - Medium	Planning & Building Control	Neil Blaney, Alex Lessware
Medium (9)	ST40	<u>Local Plan - Infrastructure Delivery Delay</u>	3 - Medium	3 - Medium	Planning & Building Control	Alex Lessware
Medium (8)	ST02	<u>Failure to control and manage finance</u>	4 - High	2 - Low	Corporate Strategy, Partnerships & Governance	Gwydion Batten, Gordon Bryant
Medium (8)	CP11	<u>Breach of Code of Conduct by Members</u>	2 - Low	4 - High	Corporate Strategy, Partnerships & Governance	Charlie Fisher
Medium (8)	ST26	<u>Poor Public Relations Management</u>	4 - High	2 - Low	Economy, Estates & Major Projects	Michelle Luscombe
Medium (8)	ST20	<u>Poorly Managed Election</u>	4 - High	2 - Low	Corporate Strategy, Partnerships & Governance	Anna Rudloff
Medium (8)	CP16	<u>Lack of Effective Risk Management</u>	4 - High	2 - Low	Corporate Strategy, Partnerships & Governance	Sarah Knight
Medium (8)	ST44	<u>Flood risk management</u>	4 - High	2 - Low	Environmental Services	Neil Blaney, Richard Rainbow
Medium (6)	CP12	<u>Breach of Code of Conduct by Staff</u>	2 - Low	3 - Medium	Corporate Strategy, Partnerships & Governance	Claire Gierth
Medium (6)	ST25	<u>High Levels of Customer Dissatisfaction</u>	3 - Medium	2 - Low	Corporate Strategy, Partnerships & Governance	Tracey Hooper, Emma Ingle, Amanda Pujol
Medium (6)	ST19	<u>Poor or Ineffective Business Continuity Management</u>	3 - Medium	2 - Low	Corporate Strategy, Partnerships & Governance	Sarah Knight

Medium (6)	ST41	<u>Local Plan - Planned Development Delayed or Not Happening</u>	2 - Low	3 - Medium	Planning & Building Control	Alex Lessware, Helen Williams
Medium (6)	ST37	<u>Failure of ICT infrastructure and systems not meeting business needs or not being fit for purpose</u>	3 - Medium	2 - Low	Corporate Strategy, Partnerships & Governance	Colin Sutherland
Medium (6)	ST42	<u>Failure to deliver the Council Strategy</u>	3 - Medium	2 - Low	LGR & Devolution	Michelle Luscombe, Philip Shears
Medium (6)	CP15	<u>Project management</u>	3 - Medium	2 - Low	Corporate Strategy, Partnerships & Governance	Sarah Knight
Medium (6)	ST45	<u>Flood risk resilience</u>	3 - Medium	2 - Low	Environmental Services	Michelle Luscombe, Richard Rainbow
Medium (6)	CP17	<u>Health and Safety Compliance for Housing Stock</u>	2 - Low	3 - Medium	Housing & Homelessness	Jon Lloyd-Owen, Chris Trowell
Medium (6)	ST09	<u>Inadequately prepared to manage the impacts of a major emergency in the District</u>	3 - Medium	2 - Low	Environmental Services	Rebecca Hewitt
Low (4)	ST14	<u>Fraud and Corruption</u>	2 - Low	2 - Low	Corporate Strategy, Partnerships & Governance	Sue Heath
Low (4)	CP07	<u>Failure to Collect or Loss of Income</u>	2 - Low	2 - Low	Corporate Strategy, Partnerships & Governance	Sue Heath
Low (4)	CP05	<u>Contractor Poor Performance/Failure</u>	2 - Low	2 - Low	Corporate Strategy, Partnerships & Governance	Rosie Wilson
Low (4)	ST43	<u>Failure to adopt Teignbridge Local Plan</u>	4 - High	1 - Very Low	Planning & Building Control	Neil Blaney, Rebecca Hewitt, Michelle Luscombe
Low (3)	CP13	<u>Safeguarding</u>	3 - Medium	1 - Very Low	Corporate Strategy,	Rebecca Hewitt

					Partnerships & Governance	
Low (3)	ST24	<u>Finance - Breach of Financial Instructions & Contract Rules</u>	1 - Very Low	3 - Medium	Corporate Strategy, Partnerships & Governance	Gwydion Batten, Gordon Bryant, Martin Flitcroft, Philip Shears
Low (3)	ST28	<u>Major damage to our physical premises and/or working environment</u>	3 - Medium	1 - Very Low	Corporate Strategy, Partnerships & Governance	Sarah Knight, Michelle Luscombe
Low (3)	CP14	<u>Non-compliance with Data Protection Legislation</u>	3 - Medium	1 - Very Low	Corporate Strategy, Partnerships & Governance	Sue Heath
Low (1)	ST31	<u>Climate Change - the impact of not reducing our energy use and contribution to CO2 emissions</u>	1 - Very Low	1 - Very Low	Environmental Services	William Elliott, Richard Rainbow
Low (1)	ST06	<u>Statutory Requirements Not Met</u>	1 - Very Low	1 - Very Low	Corporate Strategy, Partnerships & Governance	Ola Sobowale

<p>Risk Code: CP05 Unmitigated Status: Medium (6) Mitigated Status: Low (4)</p>	<p>Contractor Poor Performance/Failure Contractor poor performance/failure resulting in the Council being put under unnecessary risk of failing to deliver public services and not achieving efficiency or value for money.</p>	<p>Risk Responsible Officer: Rosie Wilson Mitigation:</p> <ul style="list-style-type: none"> Action ongoing: Actively promote the ten year Council Strategy when talking to suppliers/contractors Action ongoing: All new procurements have a standardised approach with standardised tendering practices Action ongoing: Contract Procedure Rules are in place and are reviewed annually Action ongoing: Contracts are awarded to suppliers demonstrating the right mix of quality and value for money Action ongoing: Factors that are proportionate to the nature of the contract will be evaluated at the tender stage Action ongoing: Put relevant accreditation checks in place Action ongoing: Seek quality assurance from contractors/suppliers Action ongoing: The Corporate Procurement Officer (CPO) supports all procurements over the value of £50,000 Action ongoing: Training is provided, which emphasises the importance of value for money in procurement
<p>Risk Code: CP07 Unmitigated Status: High (12) Mitigated Status: Low (4)</p>	<p>Failure to Collect or Loss of Income Failure to collect income due to poor internal control or loss of income due to fraud.</p>	<p>Risk Responsible Officer: Sue Heath Mitigation:</p> <ul style="list-style-type: none"> Action ongoing: Anti Fraud Theft and Corruption Strategy Action ongoing: Bank Reconciliation / Waybill Reconciliation / Budget & SD Arrears Monitoring Action ongoing: Cashless Council Action ongoing: Code of Conduct

		<p>ongoing</p> <p>Action ongoing Employee References</p> <p>Action ongoing Financial Instructions</p> <p>Action ongoing Income Handling Procedures</p> <p>Action ongoing Internal Audit Function</p> <p>Action ongoing PCIDSS</p> <p>Action ongoing Property / Estates Income</p> <p>Action ongoing Prosecution of Offenders</p> <p>Action ongoing Secure Collection</p> <p>Action ongoing Staff Training</p> <p>Action ongoing Whistleblowing Policy</p>
<p>Risk Code: CP08 Unmitigated Status: Medium (9) Mitigated Status: Medium (9)</p>	<p><u>Future proofing the workforce (was Failure to Improve Staff Capability and Capacity)</u> A lack of suitable staff with the relevant competencies, including senior managers, to meet future Council service provision needs</p>	<p>Risk Responsible Officer: Claire Gierth Mitigation:</p> <p>Action ongoing Annual Learning and Development Needs Survey</p> <p>Action ongoing CPD & personal development support</p> <p>Action ongoing Monitoring of salary levels</p> <p>Action ongoing Staff performance Reviews</p> <p>Action ongoing Succession planning</p>
<p>Risk Code: CP09 Unmitigated Status: High (12) Mitigated Status: Medium (9)</p>	<p><u>Staff health and wellbeing (was Staff Absence/Stress/Morale)</u> Poor workforce wellbeing leading to low morale, stress and absence and increased pressure on other staff which</p>	<p>Risk Responsible Officer: Claire Gierth Mitigation:</p> <p>Action ongoing Benchmarking with other LA's</p>

	<p>may result in reduced work productivity and loss of reputation as a good employer.</p>	<p>Action ongoing Monitoring of staff absence rates & reporting to SLT / CMT</p> <p>Action ongoing Promotion of health & wellbeing</p> <p>Action ongoing Regular meetings with trade unions and staff forum</p> <p>Action ongoing Staff engagement</p> <p>Action ongoing Supporting managers to support staff</p> <p>Action ongoing Systems & procedures for short & long term absences</p>
<p>Risk Code: CP11 Unmitigated Status: High (12) Mitigated Status: Medium (8)</p>	<p><u>Breach of Code of Conduct by Members</u> Breach of code of conduct by Members bringing the Council into disrepute.</p>	<p>Risk Responsible Officer: Charlie Fisher Mitigation:</p> <p>Action ongoing A database is kept of members interests</p> <p>Action ongoing Members' induction training</p> <p>Action ongoing Members sign up to the code</p> <p>Action ongoing Ongoing Guidance to Members</p> <p>Action ongoing Regular Reminders to Members</p> <p>Action ongoing Standards Committee appointment</p>
<p>Risk Code: CP12 Unmitigated Status: Medium (9) Mitigated Status: Medium (6)</p>	<p><u>Breach of Code of Conduct by Staff</u> Breach of code of conduct by staff leading to possible fraud, dishonesty, loss of reputation, poor performance.</p>	<p>Risk Responsible Officer: Claire Gierth Mitigation:</p> <p>Action ongoing Benchmarking with other LA's</p> <p>Action ongoing Code of Conduct review & guidance issue</p> <p>Action ongoing Continuous monitoring and improvement</p> <p>Action Induction for new staff and</p>

		<p>ongoing managers</p> <p>Action ongoing Investigations undertaken</p>
<p>Risk Code: CP13 Unmitigated Status: Medium (8) Mitigated Status: Low (3)</p>	<p>Safeguarding All children, young people and adults have the right to be safe, happy and healthy and deserve protection from abuse. The council is committed to safeguarding from harm all children, young people and adults with care and support needs using any council services and involved in any of their activities, and to treat them with respect during their dealings with the council, our partners and contractors.</p>	<p>Risk Responsible Officer: Rebecca Hewitt Mitigation:</p> <p>Action ongoing Communication of safeguarding</p> <p>Action ongoing Compliance with the Safeguarding Policy</p> <p>Action ongoing DBS checks</p> <p>Action ongoing Existing staff with frequent contact with vulnerable people will receive Safeguarding Training .</p> <p>Action ongoing Joint Working</p> <p>Action ongoing Raise awareness of Safeguarding Policy with all existing staff</p> <p>Action ongoing Safeguarding Policy Review</p> <p>Action ongoing Safeguarding protection policy in place</p> <p>Action ongoing Safeguarding training</p>
<p>Risk Code: CP14 Unmitigated Status: Medium (9) Mitigated Status: Low (3)</p>	<p>Non-compliance with Data Protection Legislation The Council has a duty to comply with the GDPR, DPA and DWP memorandum of understanding, which includes processing data lawfully and securely, informing individuals how their data will be processed and rights for individuals to access their data and request correction or in some cases deletion of their data. These cover all personal data including name, address, date of birth, telephone number, bank details, location data, Internet Protocol (IP) addresses and cookie IDs, plus sensitive personal data such as race, ethnicity, political opinions, physical or</p>	<p>Risk Responsible Officer: Sue Heath Mitigation:</p> <p>Action ongoing A dedicated Data Protection Officer to help promote GDPR compliance.</p> <p>Action ongoing Advice and process for third party use of our data, not under contract</p> <p>Action ongoing Data Protection clauses in our contracts</p> <p>Action ongoing Data Protection Impact Assessment (DPIA)</p>

	<p>mental health conditions, religious or philosophical beliefs, trade union membership, sexual life/sexual orientation, genetic and biometric data.</p>	<p>Action ongoing Data protection training</p> <p>Action ongoing Information Asset register</p> <p>Action ongoing Personal data consent management</p> <p>Action ongoing Personal data processing</p> <p>Action ongoing Process or procedure in place to report, respond to and review a data breach.</p> <p>Action ongoing Promotion of data protection awareness and GDPR</p> <p>Action ongoing Records retention schedule</p> <p>Action ongoing Service Data Guardians</p> <p>Action ongoing Technical measures to ensure data security</p>
<p>Risk Code: CP15 Unmitigated Status: High (12) Mitigated Status: Medium (6)</p>	<p>Project management Poor project planning and management lead to failure of the project. Either failure of the whole project or part of it and the outcomes and efficiencies expected are not achieved. Opportunities to improve efficiency, use of our assets, increase income, provide 24/7 online services, improve the customer experience.</p>	<p>Risk Responsible Officer: Sarah Knight Mitigation:</p> <p>Action ongoing Corporate project management method</p> <p>Action ongoing Effective monitoring and reporting of project risks</p> <p>Action ongoing Key council projects risk management list</p> <p>Action ongoing Project assurance</p> <p>Action ongoing Project lessons learned</p> <p>Action ongoing Project management expertise</p> <p>Action ongoing Project risk management by services</p> <p>Action ongoing Project risk reporting to SLT and Audit Scrutiny</p>

		<p>Action ongoing Risk information provided for all key decisions</p>
<p>Risk Code: CP16 Unmitigated Status: High (12) Mitigated Status: Medium (8)</p>	<p><u>Lack of Effective Risk Management</u> The Council fails to manage its risks properly which impacts on its ability to achieve its goals.</p> <p>Note re Actions Needed: The corporate Risk Management Strategy is due for review and work has begun to accomplish this. The functionality of the SPAR.net risk management system is not compatible with the version of Microsoft now in use; whilst a workaround has been established it is not currently possible to generate automated risk review reminders, and a system upgrade may be needed. Work continues to investigate available options.</p>	<p>Risk Responsible Officer: Sarah Knight Mitigation:</p> <p>Action ongoing Audit of Risk Management Arrangements</p> <p>Action ongoing Review of Strategic and Corporate Risks and new emerging risks and opportunities</p> <p>Action ongoing Risk Management Arrangements for Partnerships</p> <p>Action needed Risk Management is Embedded</p> <p>Action needed Risk Management Strategy</p> <p>Action ongoing Risk Management Training</p>
<p>Risk Code: CP17 Unmitigated Status: High (12) Mitigated Status: Medium (6)</p>	<p><u>Health and Safety Compliance for Housing Stock</u> Non Compliance of Health and Safety Regulations in respect of Teignbridge District Council Housing Stock</p>	<p>Risk Responsible Officer: Jon Lloyd-Owen, Chris Trowell Mitigation:</p> <p>Action ongoing All compliance reports on SaM system</p> <p>Action ongoing Annual inspections for Albany House & Luscombe Terrace</p> <p>Action ongoing KPIs reported quarterly to SLT</p> <p>Action ongoing Management and maintenance contracts monitored quarterly</p>
<p>Risk Code: ST02 Unmitigated Status: High (15) Mitigated Status: Medium (8)</p>	<p><u>Failure to control and manage finance</u> Budget restraints/lack of finances, due to local & national changes in service demand that effect levels of spend or income leading to a budget imbalance</p>	<p>Risk Responsible Officer: Gwydion Batten, Gordon Bryant Mitigation:</p> <p>Action ongoing Annual Business Plans</p> <p>Action ongoing Budget Preparation</p>

		<p>Action ongoing Budget Report</p> <p>Action ongoing Budget Review</p> <p>Action ongoing Budget Risk Analysis</p> <p>Action ongoing General Reserves Monitoring</p>
<p>Risk Code: ST06 Unmitigated Status: Medium (9) Mitigated Status: Low (1)</p>	<p>Statutory Requirements Not Met Government requirements not being met</p>	<p>Risk Responsible Officer: Ola Sobowale Mitigation:</p> <p>Action ongoing Commit adequate resources to achieving government requirements and reporting schedules</p> <p>Action ongoing Council's planned programme of Audit inspections</p> <p>Action ongoing Ensure a current up to date set of Financial Procedure Rules are maintained</p> <p>Action ongoing Ensure appointment of appropriate professional and technical Officers</p> <p>Action ongoing Ensure that the Council's Constitution is fit for purpose</p> <p>Action ongoing Formal reports to Executive/Council/Committee to be reviewed by Legal & Finance</p> <p>Action ongoing Provision of in-house legal training</p>
<p>Risk Code: ST08 Unmitigated Status: Medium (9) Mitigated Status: Medium (9)</p>	<p>Economic Uncertainty Changes to the macro-economic situation will impact upon our ability to deliver our Council Strategy and may lead to increased unemployment, a collapse of the housing market and an increased demand on Council services e.g. housing benefits.</p>	<p>Risk Responsible Officer: Gwydion Batten, Gordon Bryant Mitigation:</p> <p>Action ongoing Annual Review of the Council Strategy</p> <p>Action ongoing Assessing the Disposal/Acquisition of Assets</p> <p>Action Budget Set</p>

		<p>ongoing</p> <hr/> <p>Action ongoing Council Reserves</p> <hr/> <p>Action ongoing Financial Management</p> <hr/> <p>Action ongoing Monitor the Economic Situation</p> <hr/> <p>Action ongoing Responding to redundancies</p> <hr/>
<p>Risk Code: ST09 Unmitigated Status: Very High (20) Mitigated Status: Medium (6)</p>	<p><u>Inadequately prepared to manage the impacts of a major emergency in the District</u> Inadequately prepared to manage the impacts of an emergency affecting the public, business environment and/or organisation. An inadequate or inappropriate response to a major or environmental emergency affecting the civil community or the Council itself.</p>	<p>Risk Responsible Officer: Rebecca Hewitt Mitigation:</p> <hr/> <p>Action ongoing Appointment of Emergency Planning Officer</p> <hr/> <p>Action ongoing Devon Emergency Planning Services</p> <hr/> <p>Action ongoing Multi agency working and intelligence sharing</p> <hr/> <p>Action ongoing Publication and regular review of the Corporate Emergency Plan & Business Continuity Plan</p> <hr/> <p>Action ongoing Publication of the Corporate Emergency Plan</p> <hr/> <p>Action ongoing Reciprocal support agreement with other Devon LA's</p> <hr/> <p>Action ongoing Research and Consultation on Emergency Response Procedures</p> <hr/> <p>Action ongoing Training of Council staff</p> <hr/>

<p>Risk Code: ST11 Unmitigated Status: Very High (20) Mitigated Status: High (12)</p>	<p><u>Failure to Comply with Health & Safety Legislation</u> Failure to comply with Health and Safety Legislation leading to damage of property or equipment, loss, injury or death of staff or public, risk of corporate manslaughter, prosecution, loss of reputation and high insurance costs.</p> <p>Notes re Actions Needed: <i>Refer to section 9 of the main report</i></p>	<p>Risk Responsible Officer: Paul Nicholls, Charles Perryman Mitigation:</p> <ul style="list-style-type: none"> Action needed Adequate Health and Safety resources available Action needed Approved Policies and procedures in place Action ongoing Health and Safety communication Action ongoing Health and Safety Manager provided Action needed Health and Safety training Action ongoing Overview of compliance Action needed Risk assessments in place Action needed Safety audits Action ongoing Systems to report, record, monitor accident and near miss reports
<p>Risk Code: ST14 Unmitigated Status: High (12) Mitigated Status: Low (4)</p>	<p><u>Fraud and Corruption</u> Risk of fraud and leading to loss and / or reputational damage to the Council.</p>	<p>Risk Responsible Officer: Sue Heath Mitigation:</p> <ul style="list-style-type: none"> Action ongoing Anti Fraud and Theft Strategy and Whistleblowing Policy Action ongoing Anti Fraud, Theft and Corruption Strategy Action ongoing Bank Reconciliations Action ongoing Financial Instructions and Contract Procedure Rules Action ongoing Fraud Risk Analysis Action ongoing Internal Audit Action ongoing Raising awareness of the Council's position of non-

		<p>tolerance to fraud & corruption</p> <p>Action ongoing References are obtained for new employees</p> <p>Action ongoing Report a Fraud web form</p> <p>Action ongoing Staff and Member Codes of Conduct</p> <p>Action ongoing Suppliers Notified of Whistleblowing Policy</p>
<p>Risk Code: ST17 Unmitigated Status: High (12) Mitigated Status: Medium (9)</p>	<p>Inability to Recruit and Retain Staff Getting the right people in the right job at the right time' so that any loss of staff does not have a significant or prolonged impact on service delivery and continuity.</p>	<p>Risk Responsible Officer: Claire Gierth Mitigation:</p> <p>Action ongoing Benchmarking with other LA's</p> <p>Action ongoing Consistent management to create 'Team Teignbridge'</p> <p>Action ongoing Council operates a pay agreement</p> <p>Action ongoing Enhancing recruitment practices</p> <p>Action ongoing Salary levels are monitored to ensure Council remains competitive.</p> <p>Action ongoing Screening of potential new employees</p> <p>Action ongoing Staff performance reviews</p> <p>Action ongoing Voluntary Redundancy Programme</p> <p>Action ongoing Work Force planning</p>
<p>Risk Code: ST19 Unmitigated Status: High (12) Mitigated Status: Medium (6)</p>	<p>Poor or Ineffective Business Continuity Management Inability to provide Council services</p>	<p>Risk Responsible Officer: Sarah Knight Mitigation:</p> <p>Action ongoing Business Continuity Planning</p> <p>Action ongoing Management of service business continuity</p>

		<p>Action ongoing Regular business continuity health checks</p>
<p>Risk Code: ST20 Unmitigated Status: High (15) Mitigated Status: Medium (8)</p>	<p>Poorly Managed Election Poorly managed election leading to embarrassment, loss of reputation and High Court challenge.</p> <p>Notes re Action Needed: Elections coordinator post currently vacant due to retirement, resource to be appointed.</p>	<p>Risk Responsible Officer: Anna Rudloff Mitigation:</p> <p>Action ongoing Elections project plan</p> <p>Action ongoing Electoral guidance followed</p> <p>Action ongoing Electoral law & systems training</p> <p>Action ongoing External Performance Plan</p> <p>Action ongoing Key staff elections training</p> <p>Action ongoing Planning meetings</p> <p>Action needed Qualified Council Election's Co-ordinator</p> <p>Action ongoing Refresher/Awareness Training</p> <p>Action ongoing Risk Management workshop</p>
<p>Risk Code: ST24 Unmitigated Status: Medium (9) Mitigated Status: Low (3)</p>	<p>Finance - Breach of Financial Instructions & Contract Rules Finance - non adherence to Financial Instructions & Contract Rules due to a lack of understanding of financial procedures leading to qualification on accounts, poor inspection reports, fraud, loss of income, poor Value for Money and poor budgetary control.</p>	<p>Risk Responsible Officer: Gwydion Batten, Gordon Bryant, Martin Flitcroft, Philip Shears Mitigation:</p> <p>Action ongoing Automated controls included in corporate systems</p> <p>Action ongoing Bank and Waybill Reconciliations undertaken by Financial Services</p> <p>Action ongoing Finance System Training</p> <p>Action ongoing Financial Instructions Awareness and Contract Procedure Rules training</p> <p>Action ongoing Financial Instructions Format</p>

		<p>Action ongoing Financial Instructions Monitoring</p> <p>Action ongoing Internal Audit / Procurement Officer Advice / Waiver Requests</p> <p>Action ongoing Monthly Management Accounts</p> <p>Action ongoing Procurement Officer</p> <p>Action ongoing Trained professional staff responsible for compiling annual accounts</p>
<p>Risk Code: ST25 Unmitigated Status: High (12) Mitigated Status: Medium (6)</p>	<p>High Levels of Customer Dissatisfaction Inadequate customer contact standards and poor customer service leading to increased complaints and loss of reputation.</p>	<p>Risk Responsible Officer: Tracey Hooper, Emma Ingle, Amanda Pujol Mitigation:</p> <p>Action completed Annual Business Plans</p> <p>Action completed Complaints Review Board</p> <p>Action completed Customer Comments and Complaints system (Firmstep)</p> <p>Action completed Customer Journey Mapping</p> <p>Action completed Customer Management</p> <p>Action completed Customer Service Standards</p> <p>Action ongoing Customer Services training for reception staff to NVQ level 3 or similar</p> <p>Action completed Customer Standards & Principles</p> <p>Action completed Introduction of new Customer Feedback and Complaints Policy</p> <p>Action completed Introduction of quality assessments</p> <p>Action Staff induction training and</p>

		<p>completed staff handbook</p> <p>Action completed Technical Training</p>
<p>Risk Code: ST26 Unmitigated Status: High (12) Mitigated Status: Medium (8)</p>	<p>Poor Public Relations Management Impact on reputation due to negative media coverage or social media posts</p>	<p>Risk Responsible Officer: Michelle Luscombe Mitigation:</p> <p>Action ongoing Advising and supporting Members & other service areas on marketing, comms and PR</p> <p>Action ongoing Comms Catch-ups</p> <p>Action ongoing Development of Communications Strategy to support strategic objectives.</p> <p>Action ongoing Devon Communications Group</p> <p>Action ongoing Raising awareness of good communications and reputation management</p> <p>Action ongoing Social Media Policy</p> <p>Action ongoing Using insights and data on social media, media, website and e-newsletter engagement</p>
<p>Risk Code: ST28 Unmitigated Status: Medium (8) Mitigated Status: Low (3)</p>	<p>Major damage to our physical premises and/or working environment Major damage to our physical premises and/or working environment.</p>	<p>Risk Responsible Officer: Sarah Knight, Michelle Luscombe Mitigation:</p> <p>Action ongoing Business Continuity Plan</p> <p>Action ongoing Identification of alternative premises</p> <p>Action ongoing Risk Analysis of Councils premises and infrastructure</p> <p>Action completed Salvage Plan</p>
<p>Risk Code: ST29 Unmitigated Status: High (12)</p>	<p>Failure to maintain sufficient management capacity & capability Failure to maintain sufficient</p>	<p>Risk Responsible Officer: Claire Gierth, Ellie Willcocks Mitigation:</p>

<p>Mitigated Status: Medium (9)</p>	<p>management capacity & capability to deliver business as usual & implement change New income generation opportunities and a greater commercial focus leading to increased financial and reputational risk. Changes to services leading to poor service delivery</p>	<p>Action ongoing Annual business efficiency and service transition reviews</p> <p>Action ongoing Continuing review of staffing</p> <p>Action ongoing Due diligence</p> <p>Action ongoing External Officer networking and using the learning from others</p> <p>Action ongoing Internal and external auditing</p> <p>Action ongoing Leadership training programmes for managers and supervisors</p> <p>Action ongoing Meetings with Exec Member /Leader that identify strengths, weaknesses, opportunities & threats</p> <p>Action ongoing Regular individual, team & service performance monitoring by Managers</p> <p>Action ongoing Rescheduling of work due to high levels of demand from new & changing priorities</p> <p>Action ongoing Staff have the necessary skills for future new models of service delivery</p> <p>Action ongoing Systems & procedures & policies to deal with underperformance/lack of capability</p>
<p>Risk Code: ST31 Unmitigated Status: Medium (9) Mitigated Status: Low (1)</p>	<p>Climate Change - the impact of not reducing our energy use and contribution to CO2 emissions</p> <p>The impact of not reducing our energy use and contribution to the levels of CO2. If unchecked, the Council's energy use will continue to rise unabated and will create:-</p> <ul style="list-style-type: none"> • unnecessary financial pressure • loss of reputation as a community leader • loss or reduction of the Zero Heroes T10 Council Strategy programme 	<p>Risk Responsible Officer: William Elliott, Richard Rainbow</p> <p>Mitigation:</p> <p>Action completed Deliver energy reduction projects to reduce our carbon footprint</p> <p>Action ongoing Green travel options for staff</p> <p>Action Increase reliance on</p>

		<p>ongoing renewable energy resources</p> <p>Action ongoing Monitor all utilities consumption (electricity, gas, water)</p> <p>Action ongoing Promote and encourage behaviour that reduce our environmental impact</p> <p>Action ongoing Using technology to reduce miles travelled by the workforce to deliver services</p>
<p>Risk Code: ST32 Unmitigated Status: High (12) Mitigated Status: Medium (9)</p>	<p><u>Non Compliance with Section 106 Agreements and CIL notices</u> Non Compliance with Section 106 Agreements and CIL notices</p>	<p>Risk Responsible Officer: Neil Blaney, Alex Lessware Mitigation:</p> <p>Action completed Legal Follow up of non-payment</p> <p>Action ongoing Meetings with departments</p> <p>Action ongoing Monitor issue of relevant CIL notices and receipt of CIL monies</p> <p>Action ongoing Monitor monies received from time constrained S106 agreements and not yet spent</p>
<p>Risk Code: ST37 Unmitigated Status: High (12) Mitigated Status: Medium (6)</p>	<p><u>Failure of ICT infrastructure and systems not meeting business needs or not being fit for purpose</u> The key outcomes of the projects are: • Reduce cost...through economies of scale and reduced duplication of effort • Reduce risk...by having a larger ICT team with less reliance on key individuals and more time to manage new complex technologies • Improve capability for change...through creating capacity within the ICT team for transformation effort rather than just "business as usual" The risks arising following the formation of a new company, Strata Service Solutions Ltd, wholly owned by Teignbridge DC, Exeter CC and East Devon DC to deliver and manage ICT services for all 3 Councils. Failure of the shared service and failure by Strata to provide the ICT service required.</p>	<p>Risk Responsible Officer: Colin Sutherland Mitigation:</p> <p>Action ongoing Annual auditing</p> <p>Action completed Good communication</p> <p>Action completed ICT Requirements Board review meetings</p> <p>Action ongoing Monitoring and review of performance</p> <p>Action ongoing Robust governance</p> <p>Action ongoing Strata and Teignbridge DC Business Continuity Plans</p>

		<p>Action ongoing Strata Business plan approved annually</p>
<p>Risk Code: ST40 Unmitigated Status: High (12) Mitigated Status: Medium (9)</p>	<p><u>Local Plan - Infrastructure Delivery Delay</u> Infrastructure delay; risk of not delivering infrastructure as per the Infrastructure Delivery Plan required for the successful delivery of the commitments in the Local Plan</p>	<p>Risk Responsible Officer: Alex Lessware Mitigation:</p> <p>Action ongoing Direct Involvement</p> <p>Action ongoing Funding Streams</p> <p>Action completed Ongoing Review of Infrastructure Delivery Plan</p> <p>Action ongoing Planning Application Process</p> <p>Action ongoing S.106 and Planning Conditions</p> <p>Action ongoing Work with Stakeholders</p>
<p>Risk Code: ST41 Unmitigated Status: Medium (6) Mitigated Status: Medium (6)</p>	<p><u>Local Plan - Planned Development Delayed or Not Happening</u> Planned development is delayed or does not happen due to an economic downturn, infrastructure provision delay, unexpected delivery costs, landowners sitting on land and developers having different priorities. This could lead to a loss of infrastructure funding, shortage of employment and housing development, failure on the Housing Delivery Test or 5 Year Housing land supply, leading to a possible loss of appeals.</p>	<p>Risk Responsible Officer: Alex Lessware, Helen Williams Mitigation:</p> <p>Action completed Achievable Allocations</p> <p>Action ongoing Infrastructure Investment</p> <p>Action completed Viability Evidence</p> <p>Action ongoing Work with Developers, Landowners, Councillors and other Stakeholders</p>
<p>Risk Code: ST42 Unmitigated Status: High (12) Mitigated Status: Medium (6)</p>	<p><u>Failure to deliver the Council Strategy</u> Failure to deliver the key objectives and outcomes of the Council Strategy due to: no money to deliver, no capacity to deliver, a loss of political willingness, change of political direction – local and national, a change of view and priorities by our partners, loss of key staff and local intelligence, unreliable data leading to poor decisions & direction, a lack of engagement of staff, unforeseen</p>	<p>Risk Responsible Officer: Michelle Luscombe, Philip Shears Mitigation:</p> <p>Action ongoing Budget planning</p> <p>Action ongoing Clear links to other Council and partner strategies and plans</p>

	<p>issues outside of our control, , too broad a spread of actions, poor leadership, poor planning, governance and monitoring of performance leading to: missed opportunities, moving out of scope of the project, duplication, lost opportunities due to sole focus on the strategy objectives, a loss of reputation, customer satisfaction and trust, unplanned changes, a lack of co-ordination and direction and the risk of over promising and under delivering.</p>	<p>Action ongoing Communication planning</p> <p>Action completed Ensure adequate staff resources</p> <p>Action ongoing Overall project and programme oversight</p> <p>Action ongoing Partnership relationship management</p> <p>Action ongoing Programme Managers/Business Managers meetings with Portfolio Holders</p> <p>Action ongoing Project planning</p> <p>Action ongoing Regular progress monitoring</p>
<p>Risk Code: ST43 Unmitigated Status: High (16) Mitigated Status: Low (4)</p>	<p>Failure to adopt Teignbridge Local Plan Councillors do not agree to the plan's contents or the Inspectors Main Modifications. or The Local Plan Inspector finds the local plan unsound or legally flawed and cannot recommend its adoption or Plan making is delayed by changes to national policy / regulations, or local issues arising from matters such as Duty to Cooperate.</p>	<p>Risk Responsible Officer: Neil Blaney, Rebecca Hewitt, Michelle Luscombe Mitigation:</p> <p>Action completed Appropriate SEA and HRA</p> <p>Action completed Conformity with GESP</p> <p>Action completed Consultation</p> <p>Action completed Critical review of plans and policies</p> <p>Action completed Duty to cooperate agreements</p> <p>Action completed Duty to cooperate processes</p> <p>Action completed Evidence</p> <p>Action completed National Planning Policy</p> <p>Action completed Political support</p> <p>Action Qualified staff</p>

		<p>completed</p> <p>Action completed Review other plans</p> <p>Action completed Training</p>
<p>Risk Code: ST44</p> <p>Unmitigated Status: High (12)</p> <p>Mitigated Status: Medium (8)</p>	<p>Flood risk management</p> <p>A lack of appropriate flood risk management of Council land and/or property. A lack of management of flood risk associated with new development through increased surface water runoff or development in flood risk areas through the lack of planning guidance. A lack of working with partners such as the Environment Agency, Devon County Council and other Risk Management Authorities.</p>	<p>Risk Responsible Officer: Michelle Luscombe, Richard Rainbow</p> <p>Mitigation:</p> <p>Action ongoing - Local Flood Risk Management Strategy</p> <p>Action ongoing Coastal flood and Erosion works</p> <p>Action ongoing Flood risk management schemes</p> <p>Action ongoing Planning guidance and application review</p> <p>Action ongoing Regular meetings with key partners</p>
<p>Risk Code: ST45</p> <p>Unmitigated Status: High (12)</p> <p>Mitigated Status: Medium (6)</p>	<p>Flood risk resilience</p> <p>A lack of flood risk management plans including supporting of Community Plans and Emergency Flood Response plans. A lack of flood risk resilience associated with new development through increased surface water runoff or inappropriate development in flood risk areas through the lack of planning guidance. A lack of working with partners such as the Environment Agency, Devon County Council and other Risk Management Authority.</p>	<p>Risk Responsible Officer: Michelle Luscombe, Richard Rainbow</p> <p>Mitigation:</p> <p>Action ongoing Local Flood Risk Management Strategy</p> <p>Action ongoing Local Resilience Forum</p> <p>Action ongoing Planning Guidance and Review</p> <p>Action ongoing Promotion of Flood Resilience schemes</p>
<p>Risk Code: ST46</p> <p>Unmitigated Status: Very High (20)</p> <p>Mitigated Status: High (12)</p>	<p>Failure of ICT security measures leading to a cyber attack</p> <p>Failure to identify, assess and put in place ICT security measures that protect from cyber attack the network and information systems that support essential service delivery. This could lead to financial loss, service delivery failure, disruption, damage/corruption of data a loss in public confidence and reputation</p>	<p>Risk Responsible Officer: Sue Heath, Amanda Pujol</p> <p>Mitigation:</p> <p>Action ongoing Acceptable Use Policy</p> <p>Action ongoing Business Continuity Plan</p> <p>Action ongoing Cyber security awareness training</p>

		<p>Action ongoing Cyber security risk management regime</p> <p>Action ongoing ICT cyber security lead</p> <p>Action ongoing Incident response policy</p> <p>Action ongoing Internal audit review</p> <p>Action ongoing Strata Monthly Report</p> <p>Action ongoing User awareness</p>
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